

# LiDAR aplicado a los incendios forestales

III Taller del Grupo de Incendios Forestales de la AET



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**Spatial modelling of crown fuels:  
Comparing methods based on LiDAR data**



# → Introduction

## Background

- Wildfire risk prevention: fuel management
- Crown fires: high severity on forest ecosystems
- Strategic fuel treatment planning based on simulations
  - wildfire behavior potential
  - high vulnerability areas
  - spatial information on fuels needed



## Background

- Collaboration between INIA and AGRESTA
- Research focused on forest structure characterization
  - **GEPRIF - forest fuels**
  - **SCALYFOR - forest dynamics**
- Different types of sensors (imagery, ALS, TLS, ForeStereo)

### GEPRIF Project

*“Fire Severity Reduction through New Tools and Technologies for Integrated Forest Fire Protection Management”*



### SCALYFOR Project

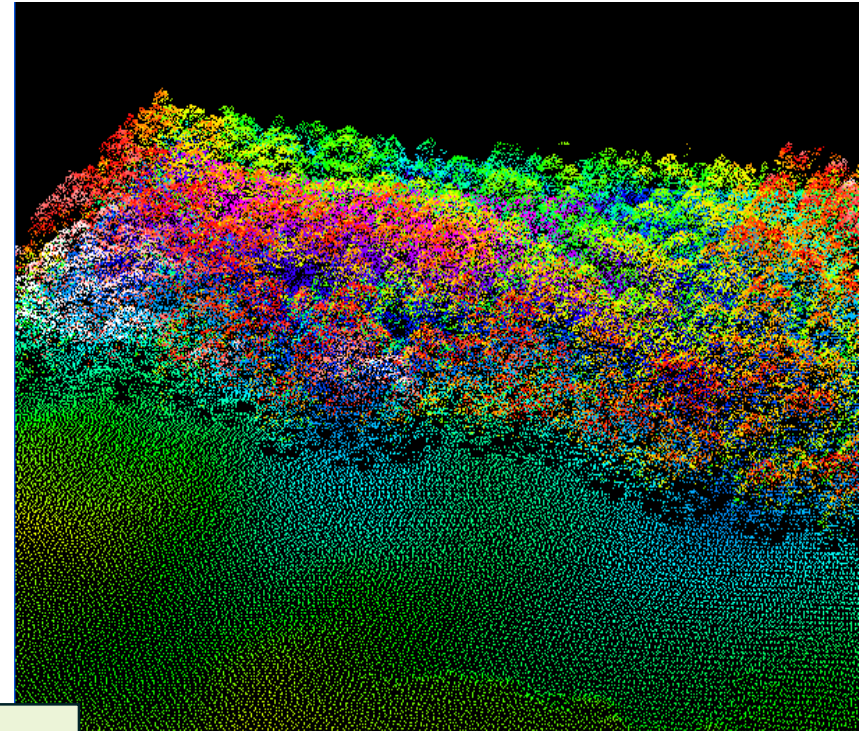
*“Forest management facing the change in forest ecosystems dynamics: a multiscale approach”*

## Airborne LiDAR

- Very useful to characterize 3D forest structure
- Revolution on data gathering at large scale
- PNOA – free data available in Spain
- Increasingly used in forest monitoring
- Previous studies providing different methods for fuel characterization

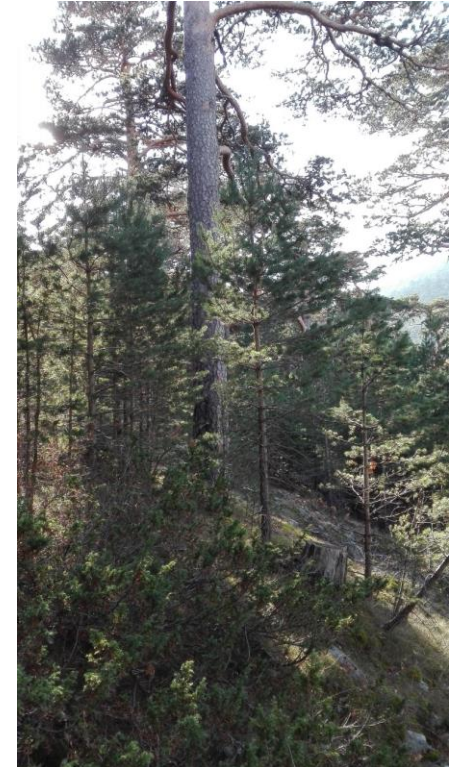


Which are the **best methods** to get information on the spatial distribution of **crown fuels**?



## Study objectives

- Assessment of different methods for crown fuel modelling
- Focused on 3 critical variables related to crown fire behaviour
  - Crown Base Height – CBH
  - Crown Fuel Load – CFL
  - Crown Bulk Density – CBD





→ Methodology

## Study area

- Valsaín in Sierra de Guadarrama National Park (Segovia, central Spain)
- Mountain forest area (wide altitude range, steep slopes)
- 7448 ha dominated by natural *Pinus sylvestris* stands
- Sustainable forest management since 1889
- Different types of forest structure (tall mature stands, dense young stands, excellent regeneration)





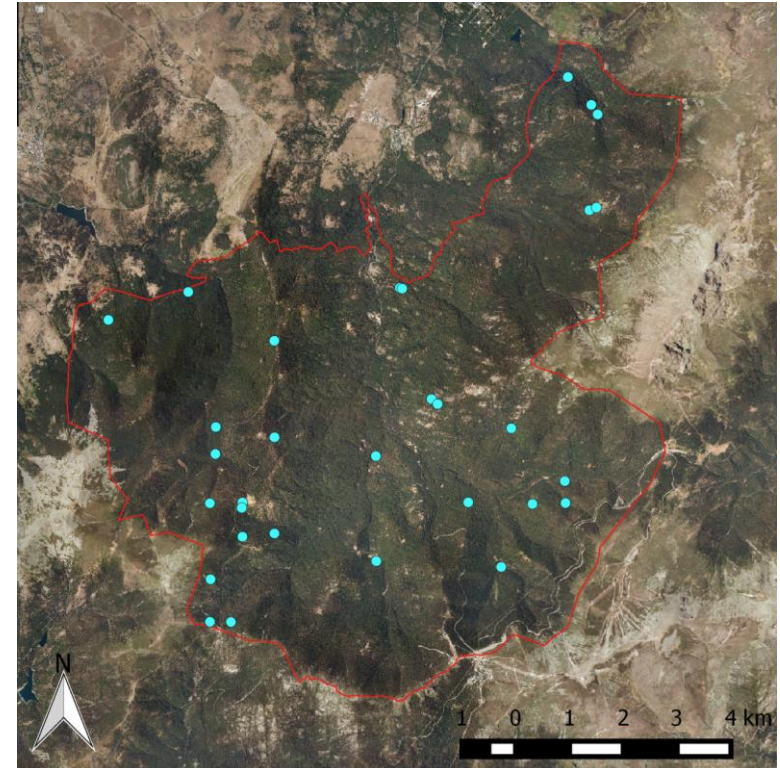
## Field inventory

→ 30 circular plots ( $r = 14$  m)

- Diameters (DBH) of all trees  $> 7.5$  cm
- Random sampling of 10 trees/plot
  - Tree height
  - Crown base height (CBH)

→ GPS location of plot center

- submetric accuracy in post-processing



## Crown fuel variables at plot level

### → Crown fuel load (CFL, kg/m<sup>2</sup>)

- Allometric equations for *Pinus sylvestris* from Sierra de Guadarrama (Montero et al. 2005)

$$\text{Dry biomass (kg)} = \text{CF} e^a D^b$$

$$\text{CF} = e^{(\text{SEE}^2/2)}$$

D = diameter

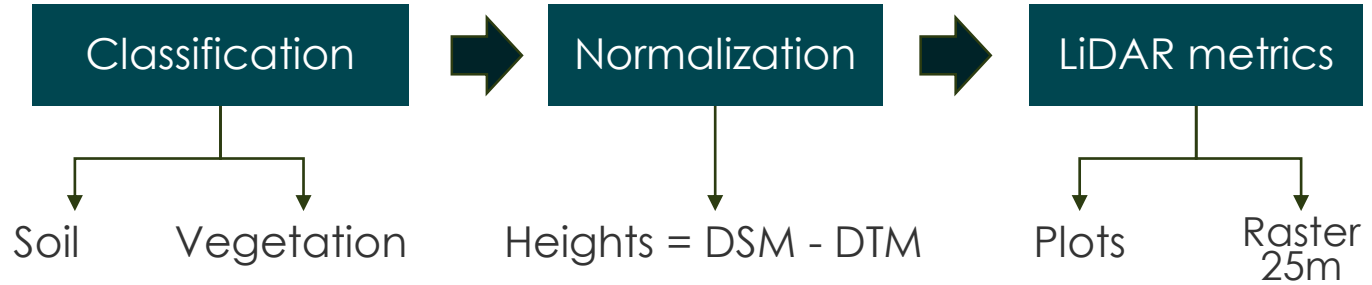
- Fine fuels:

CFL      Foliar biomass (only leaves)

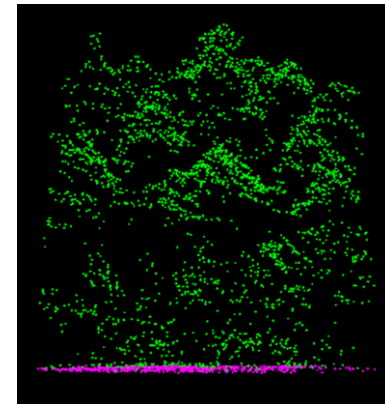
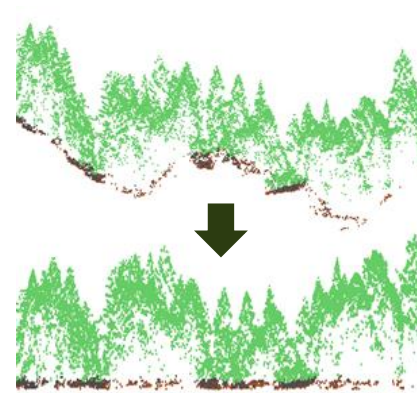
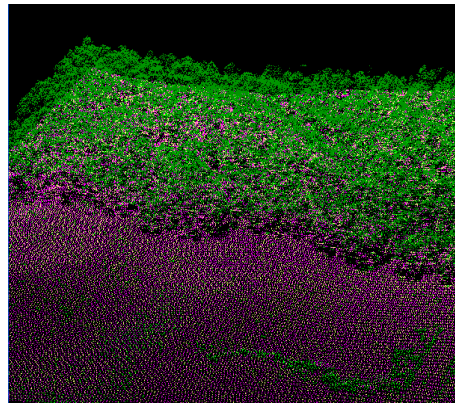
### → Crown bulk density (CBD, kg/m<sup>3</sup>)

- Calculated from crown fuel load (CFL) and crown length ( $H_{\text{mean}} - \text{CBH}$ )

## LiDAR data



**Pulse density**  
**1.5 – 5 p/m<sup>2</sup>**



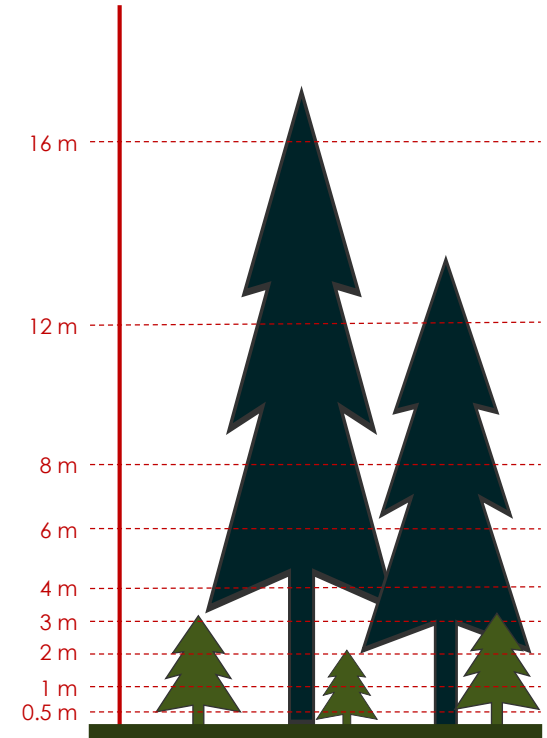
## LiDAR metrics

### Returns above 0.5 m

- Percentiles (P05, P10, ... , P99)
- Elevation statistics ( $h_{\min}$ ,  $h_{\max}$ ,  $h_{\text{mean}}$ ,  $h_{\text{CV}}$ , etc.)
- Canopy Relief Ratio (CRR)
- Percentage of first (PFR) and all returns (PAR)
- Proportion of returns normalized by height strata (PRN)

### Additional threshold levels for CRR, PFR and PAR

- 2 m and 4 m



## Statistical analysis

Performance of different methods for crown fuel modelling

### → Parametric regression

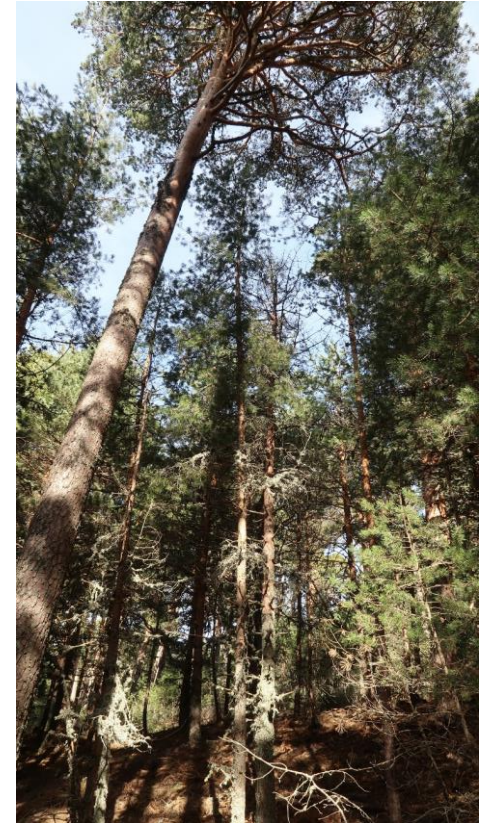
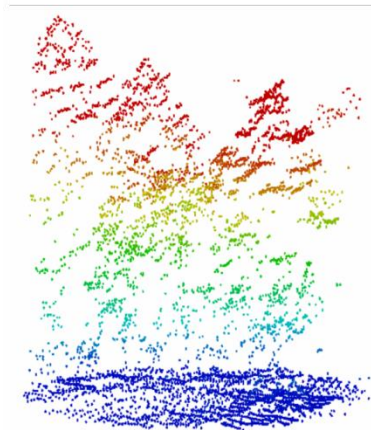
- Linear, potential & exponential models

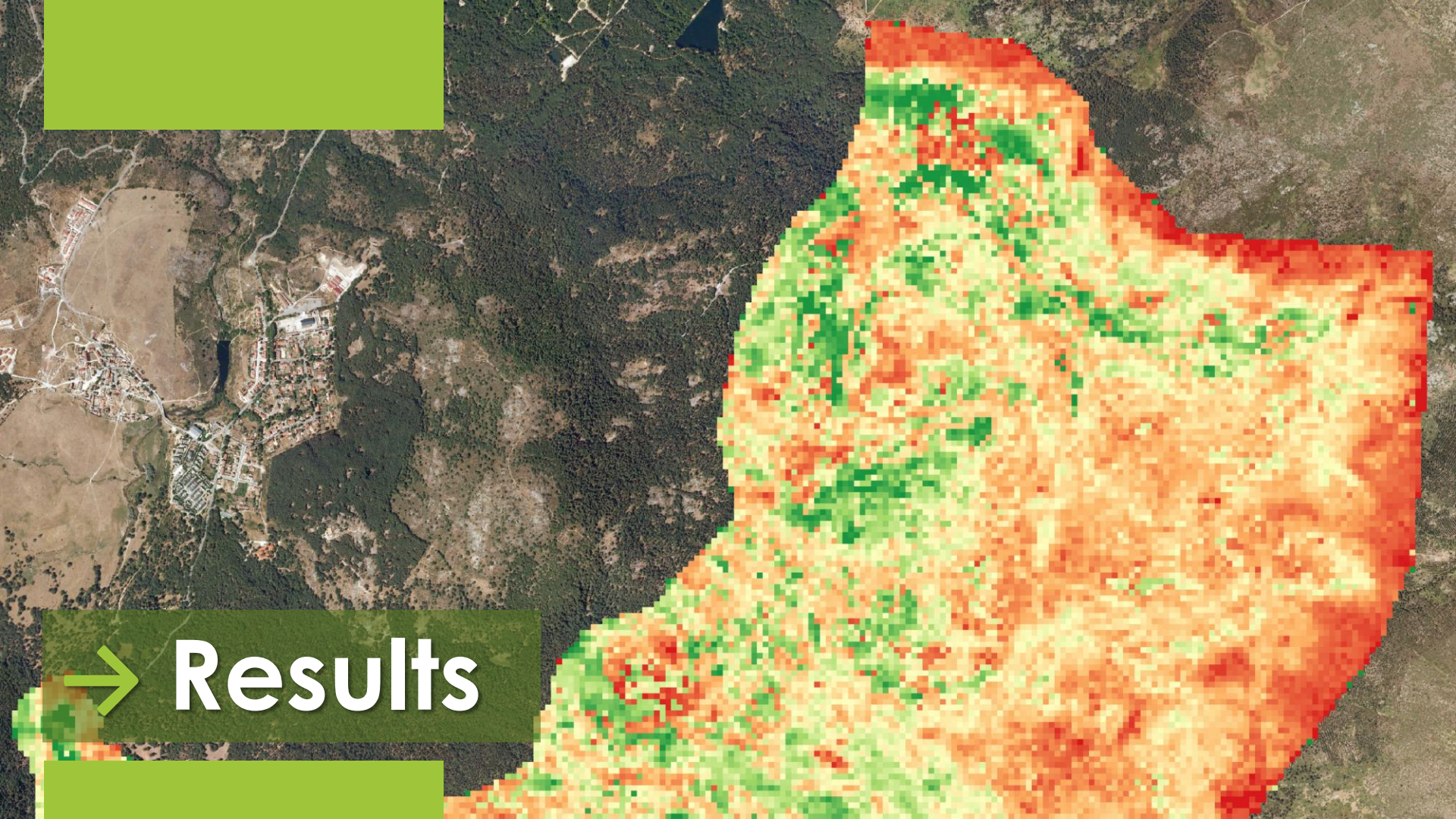
### → Non-parametric regression

- Random Forest

### → Geoestatistics

- Universal Kriging





**Results**

## Parametric regression

### → Model fitting

- Stepwise (forward & backward)
- Additional combination of metrics

### → Model selection

- LiDAR metrics: p-values of  $\beta$  coefficients
- Overall model significance: p-value
- adjusted  $R^2$  and RMSE (crossvalidation)

### R Software

Packages:

rms

lmtree

# Results



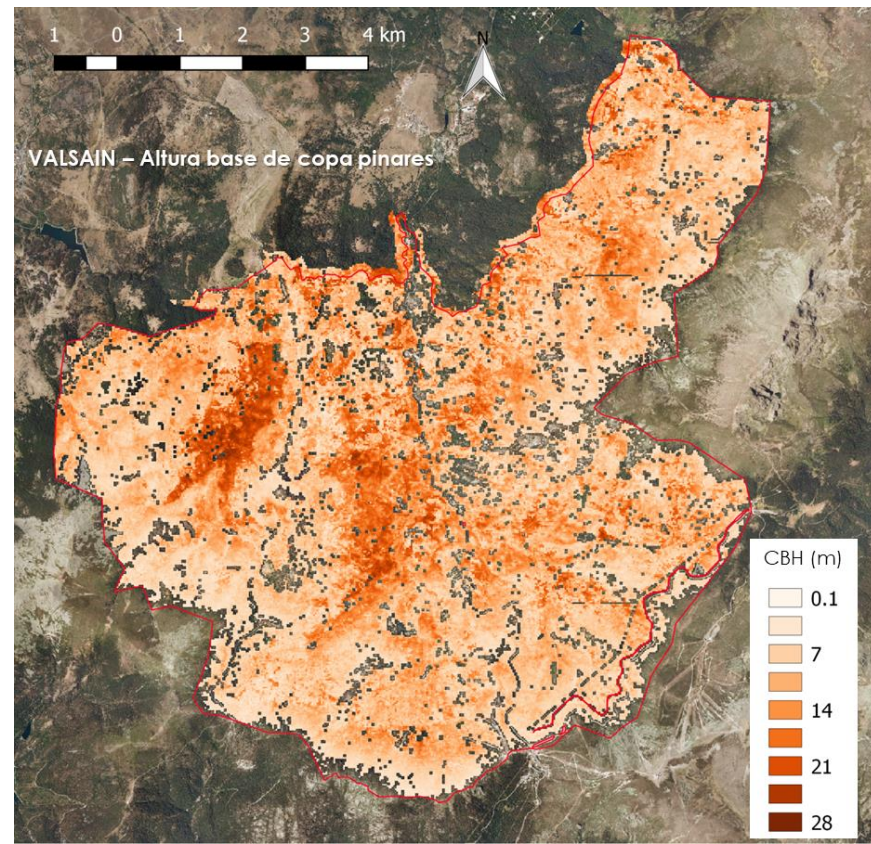
## Parametric regression

### Crown Base Height (CBH)

→ Best model: **linear**

→ **Inputs:** LiDAR metrics changing with different formulation

| Model type  | LiDAR metrics                  | R <sup>2</sup> (adjusted) | RMSE | p-value  |
|-------------|--------------------------------|---------------------------|------|----------|
| Linear      | P10<br>P50<br>CRR_a4           | 0.89                      | 1.42 | < 0.0001 |
| Potential   | h_CV<br>h_L4<br>P60<br>PRN_6a8 | 0.87                      | 3.57 | < 0.0001 |
| Exponential |                                | n.a.                      | n.a. | n.a.     |





# Results



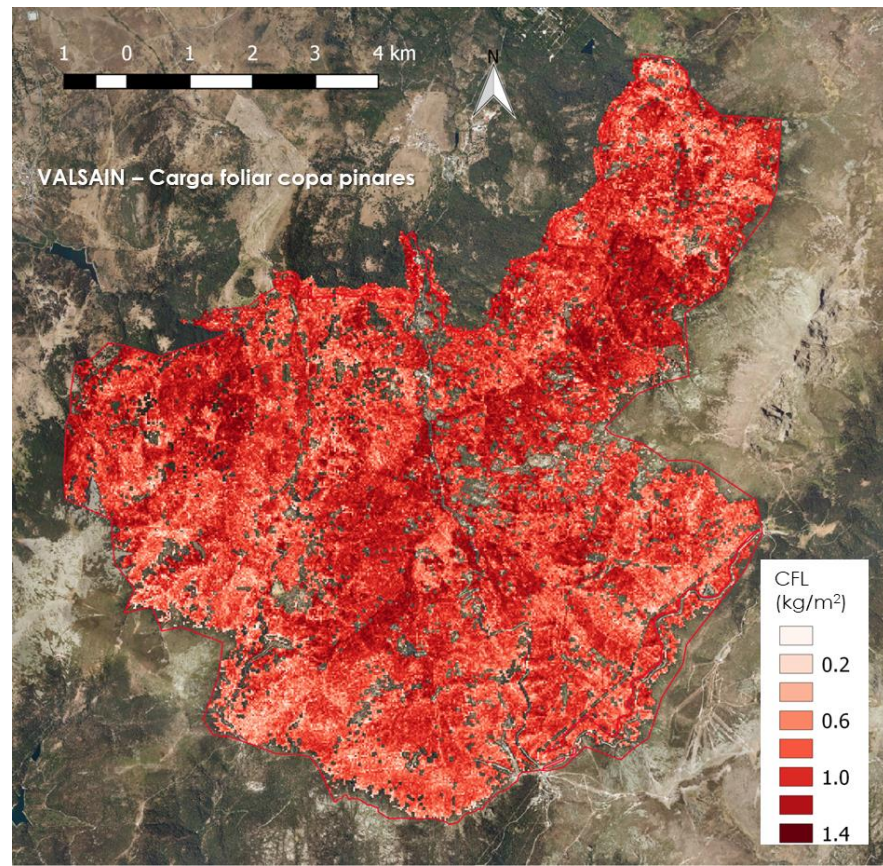
## Parametric regression

### Crown Fuel Load (CFL)

→ Best model: **linear**

→ **Inputs:** same LiDAR metrics with different formulation

| Model type  | LiDAR metrics | R <sup>2</sup> (adjusted) | RMSE | p-value  |
|-------------|---------------|---------------------------|------|----------|
| Linear      | PFR_a05       | 0.71                      | 0.16 | < 0.0001 |
| Potential   | PFR_a05       | 0.69                      | 0.15 | < 0.0001 |
| Exponential |               | n.a.                      | n.a. | n.a.     |



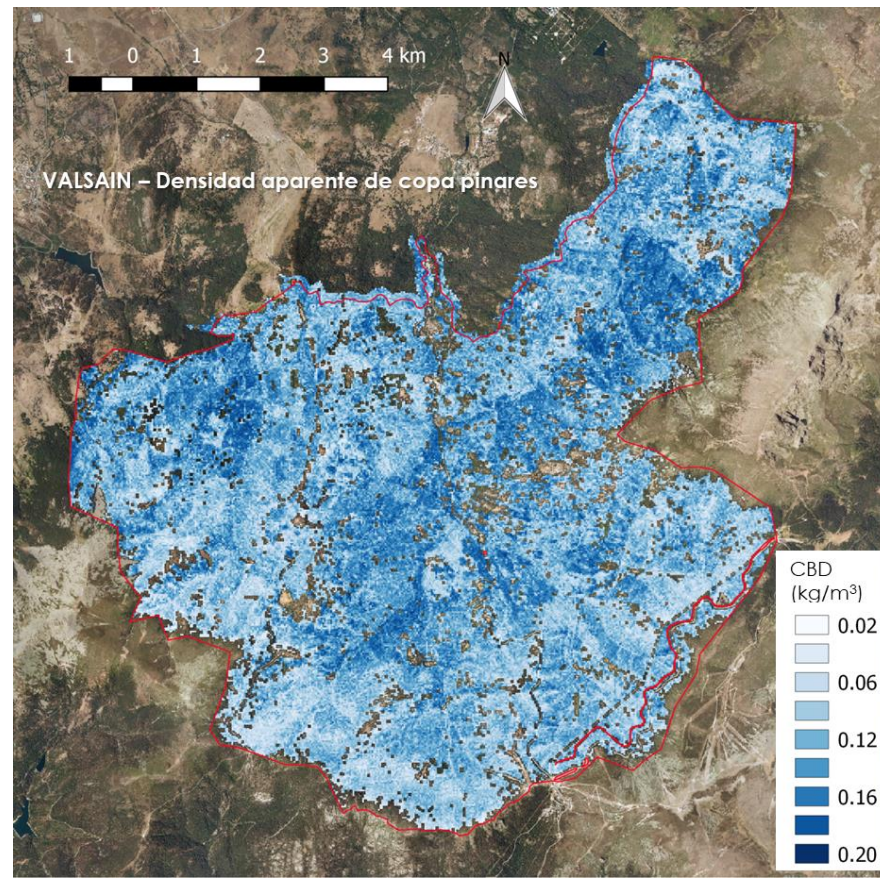
## Parametric regression

### Crown Bulk Density (CBD)

→ Best model: **exponential**

→ **Inputs:** same LiDAR metrics with different formulation

| Model type         | LiDAR metrics  | R <sup>2</sup> (adjusted) | RMSE        | p-value            |
|--------------------|----------------|---------------------------|-------------|--------------------|
| Linear             | n.a.           | n.a.                      | n.a.        | n.a.               |
| Potential          | PFR_a05        | 0.57                      | 0.05        | < 0.0001           |
| <b>Exponential</b> | <b>PFR_a05</b> | <b>0.61</b>               | <b>0.03</b> | <b>&lt; 0.0001</b> |



## Random Forest

### → Model fitting

- input metrics optimized
- 1000 trees
- Importance of variable

### → Model selection

- pseudo  $R^2$  (% variability explained)
- RMSE

## R Software

Packages:

randomForest

rfUtilities

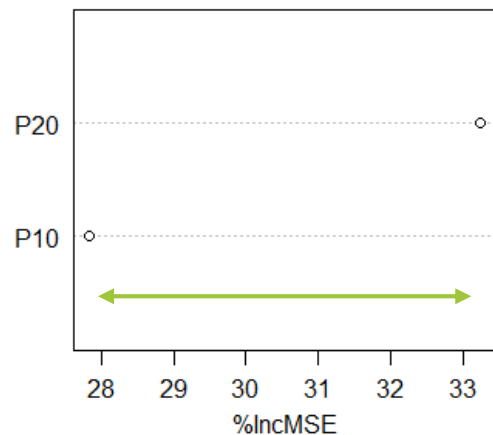
VSURF

## Random Forest

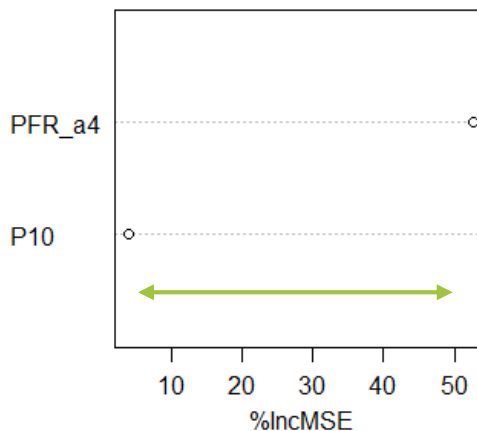
| Model      | LiDAR metrics   | pseudo R <sup>2</sup> | RMSE |
|------------|---|-----------------------|------|
| <b>CBH</b> | P10, P20  | 0.79                  | 2.11 |
| <b>CFL</b> | PFR_a4, P10   | 0.63                  | 0.19 |
| <b>CBD</b> | PFR_a05, PFR_a2, PFR_a4,<br>PRN_8a12, h_IQ, h_MADmedian | 0.65                  | 0.03 |

## Random Forest – Importance of variables

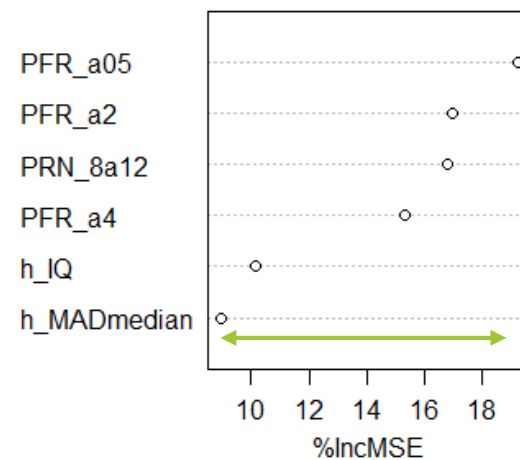
### Crown Base Height (CBH)



### Crown Fuel Load (CFL)



### Crown Bulk Density (CBD)



## Geoestistics

### → Universal Kriging

- Neuman-Jacobson method
- Input data: 30 sampling plots
- LiDAR as auxiliary data
- Variogram fit: spherical

### → Model selection

- LiDAR metrics: p-values of  $\beta$  coefficients
- Crossvalidation

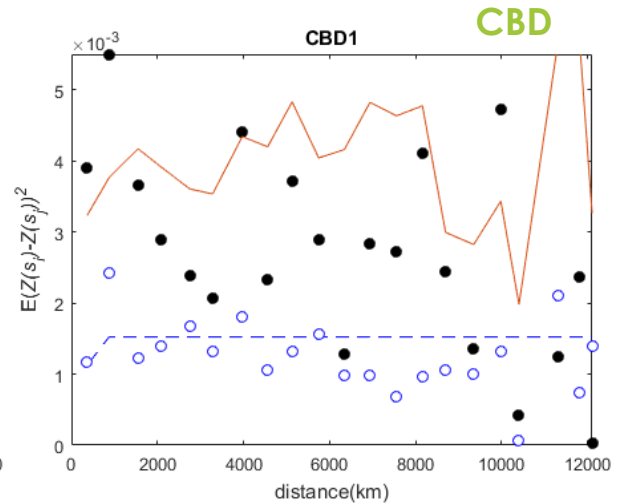
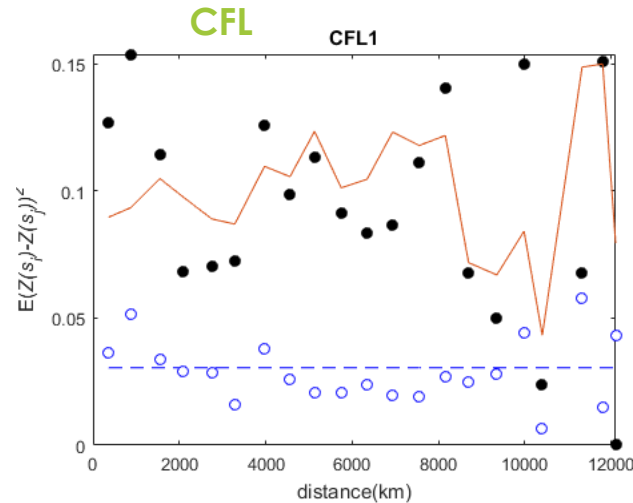
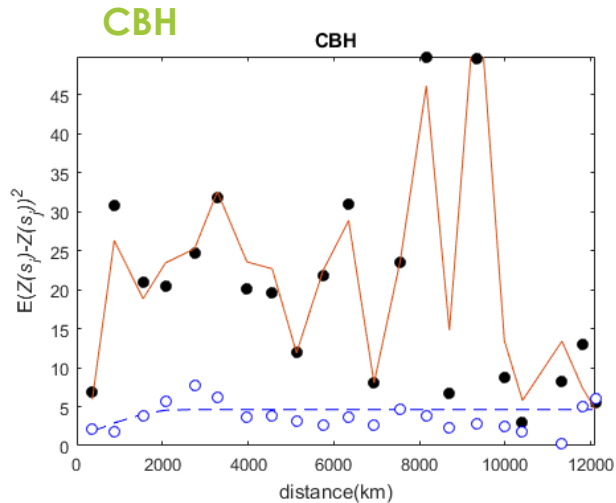
### Software **GEOSTAT**

Matlab application  
developed in  
INIA-CIFOR for  
geostatistical analysis

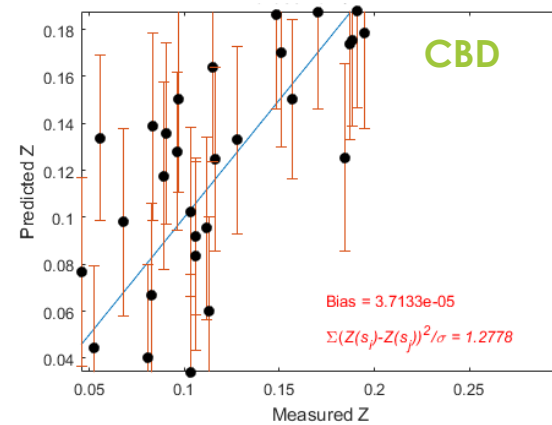
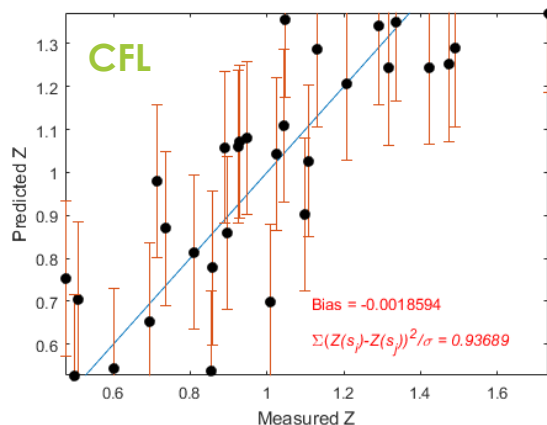
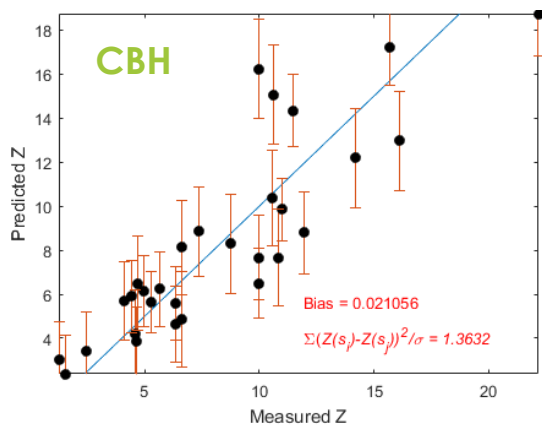
## Geostatistics

→ Universal Kriging

- CBH: excellent variogram fit
- High correlation with LiDAR data



# Results



## Geoestatics

→ Crossvalidation

- good accuracy (RMSE)
- low bias

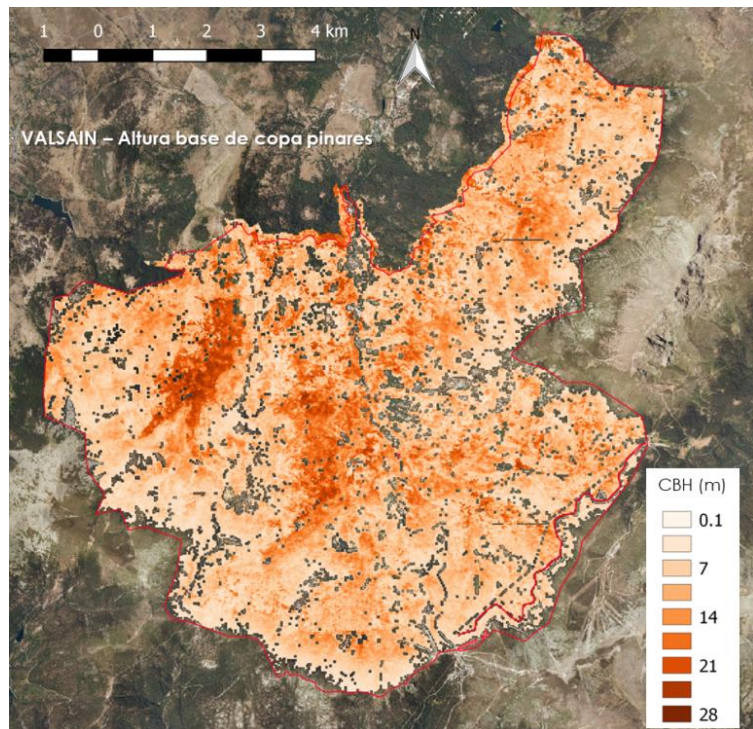
| UK model   | LiDAR metric | p-value  | Nugget | Sill    | Range  | RMSE |
|------------|--------------|----------|--------|---------|--------|------|
| <b>CBH</b> | P25          | < 0.0001 | 0.98   | 3.64    | 2377.6 | 2.31 |
|            | CRR_05       | 0.0217   |        |         |        |      |
| <b>CFL</b> | PFR_α05      | < 0.0001 | 0.03   | <0.0001 | 862.5  | 0.18 |
| <b>CBD</b> | PFR_α05      | < 0.0001 | 0.004  | 0.001   | 800.0  | 0.04 |



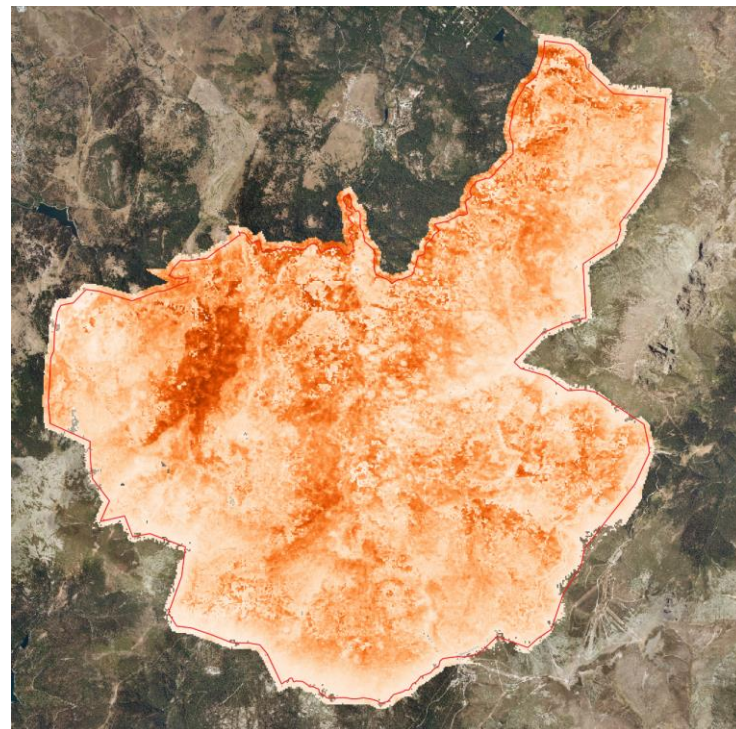
# Results



## Linear regression



## Geostatistics

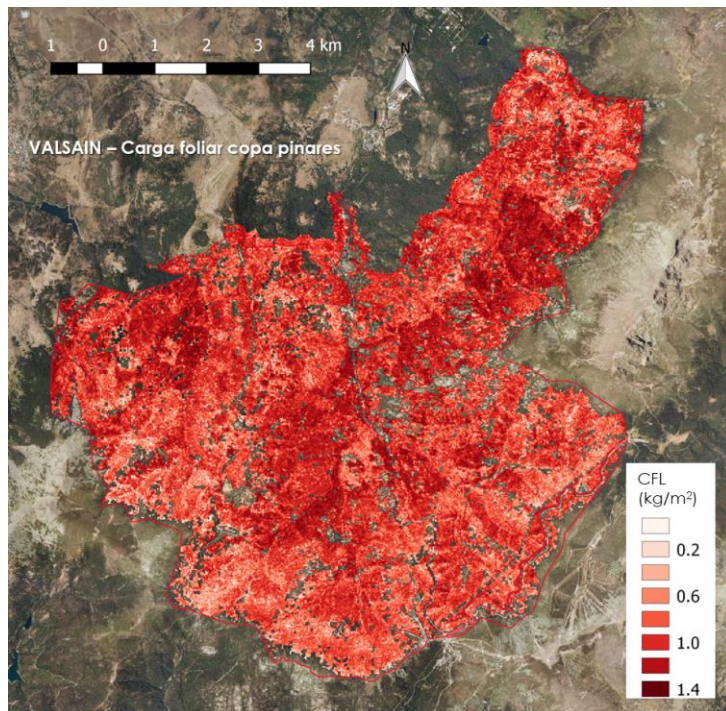


Crown Base Height (CBH)

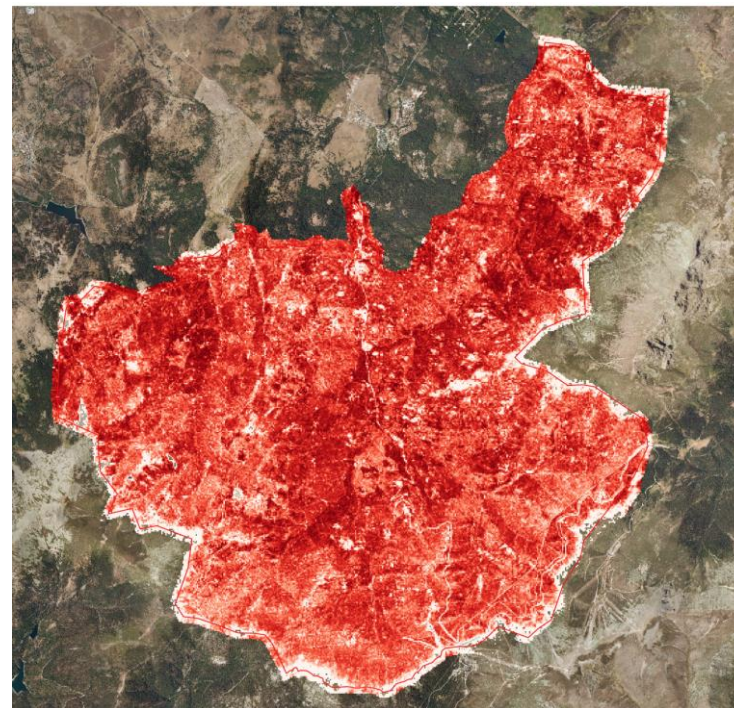
# Results



## Linear regression

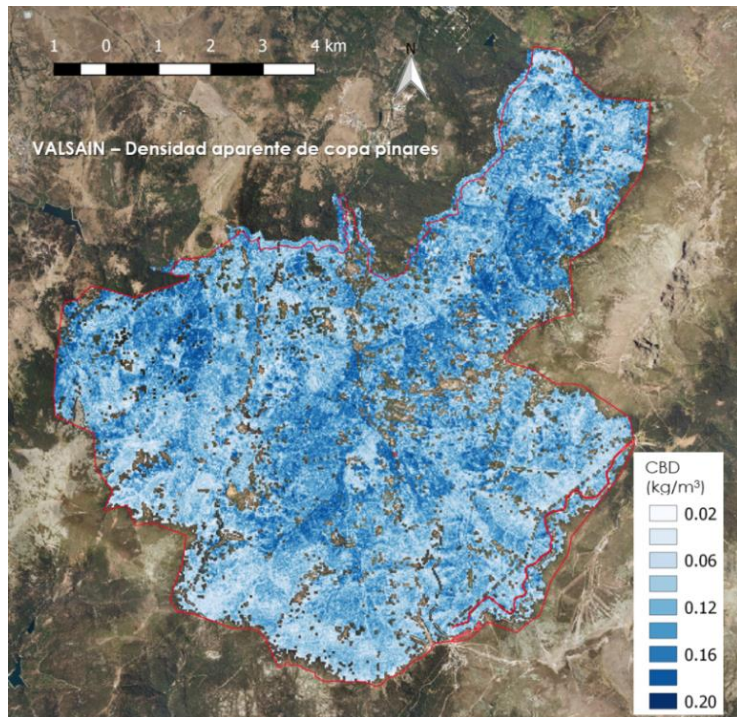


## Geostatistics

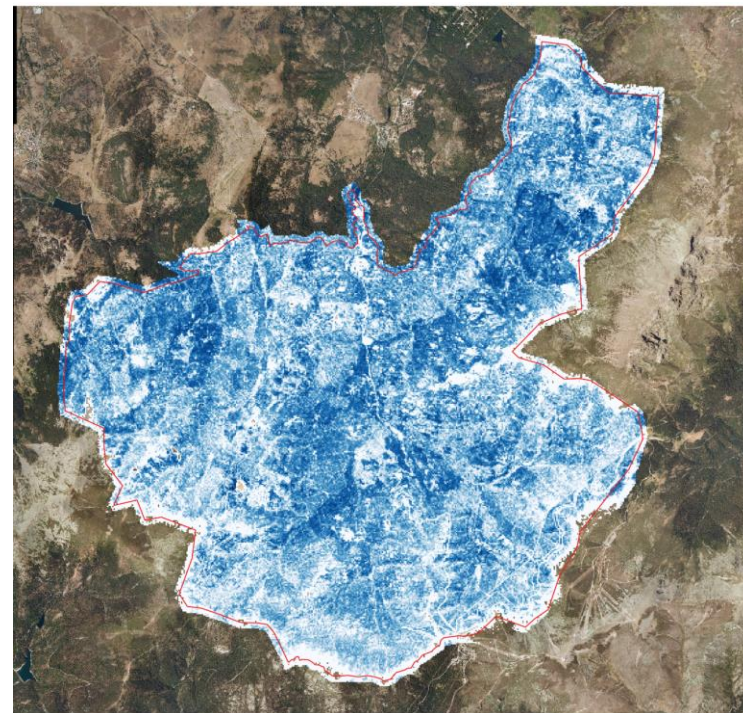


Crown Fuel Load (CFL)

## Linear regression



## Geostatistics



Crown Bulk Density (CBD)

## Comparison of methods

- Main RMSE differences observed in CBH
- Better performance of parametric regression, except CBD (similar to RF)
- CBD: more difficult to model

| Model      | RMSE (%)              |               |                   |
|------------|-----------------------|---------------|-------------------|
|            | Parametric regression | Random Forest | Geostatistic (UK) |
| <b>CBH</b> | 1.42 (17%)            | 2.11 (25%)    | 2.31 (28%)        |
| <b>CFL</b> | 0.16 (16%)            | 0.19 (19%)    | 0.18 (18%)        |
| <b>CBD</b> | 0.03 (24%)            | 0.03 (24%)    | 0.04 (32%)        |



→ Conclusions

## Parametric regression

→ the best modelling approach for the crown fuel variables tested

## Random Forest

→ robust and accurate alternative for crown fuel modelling when parametric regression is not applicable

## Geostatistics

→ promising technique for field and LIDAR data integration, but increased sampling density is required to better account spatial correlation



## Acknowledgments:

Centro de Montes y Aserradero de Valsaín  
(OAPN)



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DE AGRICULTURA Y PESCA,  
ALIMENTACIÓN Y MEDIO AMBIENTE

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PARQUES  
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